

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10595469	
	Filing Date		2006-04-21	
	First Named Inventor	Yim-Bun Patrick Kwan		
	Art Unit			
	Examiner Name			
	Attorney Docket Number	72261.43		

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	1	2002203767	JP	A	2002-07-19	Nippon Kogaku KK		<input checked="" type="checkbox"/>
	2	5234850	JP	A	1993-09-10	Canon KK		<input checked="" type="checkbox"/>
	3	1178356	EP	A2	2002-02-06	Carl Zeiss		<input checked="" type="checkbox"/>
	4	1321823	EP	A2	2002-06-25	ASML Netherlands BV (NL)		<input checked="" type="checkbox"/>
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STATEMENT BY APPLICANT**
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Application Number	10595469
Filing Date	2006-04-21
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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Donald S. Showalter/	Date (YYYY-MM-DD)	2006-07-07
Name/Print	Donald S. Showalter	Registration Number	33033

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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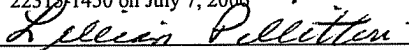
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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P.O. Box 1450, Alexandria, VA 22313-1450 on July 7, 2006


Lillian Pillitteri

Serial No.: 10/595,469
Filed: April 21, 2006
Confirmation No.: 1165
Applicant: Yim-Bun Patrick Kwan et al.
Title: OPTICAL ASSEMBLY FOR PHOTOLITHOGRAPHY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Pursuant to 37 C.F.R. §1.56, the disclosures listed on the attached Form PTO-1449 are called to the attention of the U.S. Patent and Trademark Office in connection with the above-identified application. Copies of the foreign references are enclosed. These disclosures are being submitted within three (3) months of the filing date or prior to the receipt of an Office Action on the merits pursuant to 37 C.F.R. § 1.97; thus no fee should be required. However, if a fee is required, please charge same to undersigned's Deposit Account No. 50-3744.

The Examiner is invited to review the subject matter of these references, and independently assess the teachings and materiality of each, if any, to the pending claims. Pursuant to 37 C.F.R. §1.97(h), no admission is made that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Respectfully submitted,

GrayRobinson, P.A.

CUSTOMER NO. 60474

By: 

Donald S. Showalter, Reg. No. 33,033

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